

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>PTT-130(402559US)</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5 <b>10/018,611 #5</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP00/06403</b>	INTERNATIONAL FILING DATE <b>05 July 2000</b>	PRIORITY DATE CLAIMED <b>12 July 1999</b>	
TITLE OF INVENTION <b>OPTICAL TRANSMISSION NETWORK HAVING A PROTECTION CONFIGURATION</b>			
APPLICANT(S) FOR DO/EO/US <b>PETERS, Michiel Gerard; VAN DER TOL, Johannes Jacobus Gerardus Maria</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (4 pages)</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
Items 11 to 20 below concern document(s) or information included:			
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. (2 pages, total)</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: <b>Postcard Receipt, Completion of Patent Application transmittal letter (in duplicate), and a copy of 371 Formalities Letter</b></p>			

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/018,611	INTERNATIONAL APPLICATION NO. PCT/EP00/06403	ATTORNEY'S DOCKET NUMBER PTT-130(402559US)
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>CALCULATIONS PTO USE ONLY</b>          																															
	\$ 00.00																															
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 130.00																															
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:15%;">NUMBER FILED</th> <th style="width:15%;">NUMBER EXTRA</th> <th style="width:15%;">RATE</th> <th style="width:15%;">\$</th> <th style="width:15%;"></th> </tr> <tr> <td>Total claims</td> <td>0 - 20 =</td> <td>0</td> <td>x \$18.00</td> <td>\$ 00.00</td> <td></td> </tr> <tr> <td>Independent claims</td> <td>0 - 3 =</td> <td>0</td> <td>x \$84.00</td> <td>\$ 00.00</td> <td></td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> <td>\$ 00.00</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS</b></td> <td>=</td> <td>\$ 130.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		Total claims	0 - 20 =	0	x \$18.00	\$ 00.00		Independent claims	0 - 3 =	0	x \$84.00	\$ 00.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	\$ 00.00	<b>TOTAL OF ABOVE CALCULATIONS</b>				=	\$ 130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																												
Total claims	0 - 20 =	0	x \$18.00	\$ 00.00																												
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	\$ 00.00																											
<b>TOTAL OF ABOVE CALCULATIONS</b>				=	\$ 130.00																											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	\$ 00.00																															
<b>SUBTOTAL</b>	= \$ 130.00																															
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$ 00.00																															
<b>TOTAL NATIONAL FEE</b>	= \$ 130.00																															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	\$ 40.00																															
<b>TOTAL FEES ENCLOSED</b>	= \$ 170.00																															
04/01/2002 NHUYEN 00000125 10018611 01 FC:154 130.00 OP	Amount to be refunded:	\$																														
	charged:	\$																														

a. ☒ A check in the amount of \$ 170.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 13-3083 in the amount of \$ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 13-3083. A duplicate copy of this sheet is enclosed.

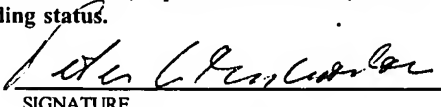
d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card**  
**information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**MICHAELSON & WALLACE**  
 Parkway 109 Office Center  
 328 Newman Springs Road  
 P.O. Box 8489  
 Red Bank, NJ 07701

  
 SIGNATURE  
 Peter L. MICHAELSON  
 NAME  
 30,090  
 REGISTRATION NUMBER

# MICHAELSON & WALLACE

A Partnership of Intellectual Property and Technology Lawyers

25 March 2002

Senior and Managing Partner:

Peter L. Michaelson  
NJ, NY, PA, AK Bars

COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

## COMPLETION OF PATENT APPLICATION

S I R:

In connection with the request filed on 17 December 2001 to begin national entry in the U.S. PTO and 371 Formalities Letter (Notification of Missing Requirements) of the following international patent application:

Applicants: **PETERS, Michiel Gerard;**  
**VAN DER TOL, Johannes Jacobus Gerardus Maria**

International Application No.: **PCT/EP00/06403**

International Filing Date: **05 July 2000**

Priority Date Claimed: **12 July 1999**

Serial No.: **10/018,611** Filed: **December 17, 2001**

Confirmation No.: **5101**

Title: **OPTICAL TRANSMISSION NETWORK HAVING A PROTECTION CONFIGURATION**

Atty. Doc. No.: **PTT-130(402559US)**

we enclose the following material herewith:

XX  
XX

Postcard Receipt;

Our check in the amount of \$ 170.00 to cover the surcharge for late filing of the Declaration in the amount of \$ 130.00 and the assignment recordation fee in the amount of \$ 40.00;

email:  
pmichaelson@mandw.com

web site:  
www.mandw.com

NJ Office (Reply to):

Parkway 109 Office Ctr.  
328 Newman Springs Rd.  
P.O. Box 8489  
Red Bank, NJ 07701  
Tel: 732-530-6671  
Fax: 732-530-6584/5  
Videoconference:  
732-224-0132 (ISDN)

Offices also in:

Santa Clara, California  
Ventura, California

-1-

\*\*\*EXPRESS MAIL CERTIFICATE APPEARS ON LAST PAGE\*\*\*

- XX Completed Form PTO-1390 - Transmittal Letter to the U.S. Designated/Elected Office (in duplicate);
- XX Executed Declaration and Power of Attorney (4 pages);
- XX Recordation Form Cover Sheet;
- XX Assignment (1 page); and
- XX Copy of 371 Formalities Letter.

In the event a fee is due, kindly charge that fee to my deposit account number 13-3083. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Respectfully submitted,

MICHAELSON & WALLACE



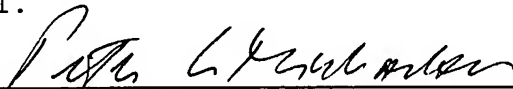
Peter L. Michaelson, Attorney  
Reg. No. 30,090  
Customer No. 007265

**\*\*\*EXPRESS MAIL CERTIFICATION\*\*\***

"Express Mail" mailing label number: EL 913825522 US

Date of deposit: 26 March 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, **Box PCT**, Washington, D.C. 20231.



Signature of person making certification

Peter L. Michaelson

Name of person making certification

(p130msg/ks/83)